### Remarks/Arguments

Claims 1, 5-7 and 9-17 are pending. No claim amendments have been made in response to the present Office action.

Preliminarily, it is noted that certain of the arguments presented in the prior Response pointed out that the Examiner, in certain portions of the prior Office Action, had incorrectly interpreted Hayes alone. The Examiner has repeated many of these incorrect interpretations of Hayes verbatim, without providing a response to the Applicant's arguments. If the next Office Action is not an allowance of all claims, and continues to rely on Hayes, the Examiner is requested to respond to all of the arguments pertaining to Hayes.

Rejection of claims 1, 5-7 and 9 - 17 under 35 USC 103(a) as being unpatentable over U.S. Patent No. 6,223,348 (Hayes) in view of U.S. Patent No. 6,044,215 (Charles, et al.).

Applicants submit that for the reasons discussed below present claims 1, 5–7 and 9-17 are patentably distinguishable over the teachings of Hayes and Charles, et al.

#### CLAIMS 1, 5, 9 and 10

The invention as recited in claim 1 is not rendered obvious by the combination of Hayes and Charles, et al., proposed by the Examiner, as the proposed combination would <u>not</u> result in all of the limitations of claim 1.

The standard for a *prima facie* case of obviousness is the following:

To establish a *prima facie* case of obviousness, three basic criteria must be met. First there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. . . . *In re Vaeck*, 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991).

Ser. No. 09/830,235 Internal Docket No. RCA-89210

MPEP §2142 (8<sup>th</sup> edition, rev. 2, 2004)

In the present case, the Examiner has failed to establish a *prima facie* case of obviousness, as the cited references, even when combined, fail to teach or suggest all the limitations of claim 1.

Claim 1 recites an apparatus for loading computer code from a memory type integrated circuit card preloaded with computer code. The apparatus has a card interface capable of distinguishing between a conventional integrated circuit card and a memory type integrated circuit card preloaded with computer code. The card interface has a first data port for transferring data in accordance with a first standard and a second data port for transferring data in accordance with a second standard. The apparatus also has a memory for storing computer code for execution by the apparatus, and a microcontroller coupled to the card interface and to the memory. If the card is a memory card, the microcontroller reads the computer code from the memory card by way of the second data port to the memory, for thereby updating the computer code stored in the memory so as to effect a change of the functional operation of the apparatus.

The combination proposed by the Examiner does not include a "card interface having a first data port for transferring data in accordance with a first standard and a second data port for transferring data in accordance with a second standard." The Examiner states that Charles et al teaches an apparatus providing an interface having first and second data ports, referring to Fig. 1A. The Examiner further states that Charles et al. teaches a controller, referring to col. 17, line 48, which recites a "DMA controller." The Examiner states that the Charles, et al. reference teaches ports in accordance with different standards, referring to col. 17, lines 12-19. The Examiner states that one of ordinary skill in the art would have been motivated to combine the cited disclosures in order to obtain a system for interfacing devices complying with different standards, allowing them to be used with portable computers, as taught by Charles, et al., referring to col. 3, lines 28-34.

The combination proposed by the Examiner fails to teach a "card interface having a first data port for transferring data in accordance with a first standard and a second data port for transferring data in accordance with a second standard," as recited in claim 1. Charles, rather than teaching transferring data in accordance with a first standard or a second standard, provides for translation of data received in accordance with one standard, such as ISA or PCI, into the PCMCIA standard (e.g., col. 17, lines 12-20), and then transfer, always in the PCMCIA standard, to the memory of host computer 122. The device of Charles merely receives data at the interface in accordance with a standard such as ISA or PCI. The term "transfer" is used in claim 1 as a transitive verb, meaning to move something from one place to another. In particular, in claim 1, the term "transfer" applies to moving computer code from a card to a memory. Charles provides for moving or transferring of data from one of various devices, that are not shown in Charles, such as a mouse coupled to mouse port 104, to the memory of host computer 122. Before transfer of the data to the memory of host computer 122 of Charles, the data is always translated to the PCMCIA standard (e.g., col. 8, lines 52-59, and Fig. 3). Thus, the device of Charles always transfers data in accordance with a single standard, namely, the PCMCIA standard.

Furthermore, even if receiving data from a device at an interface were to be interpreted as "transferring" data, Charles does <u>not</u> provide a single interface having a first data port for transferring data in accordance with a first standard and a second data port for transferring data in accordance with a second standard. Rather, each of the interfaces of Charles, such as keyboard port 106, mouse port 104, serial port 113, and parallel port 110, has a single port for transferring data in accordance with a single standard, such as ISA. Advantageously, the invention of claim 1 provides a single interface for at least two different types of memory cards.

Moreover, the combination proposed by the Examiner does not teach "a microcontroller coupled to the card interface and to the memory for, if said card is a memory card, reading said computer code from said memory card by way of said second data port to said memory." The Examiner points to the controller noted in

Charles at col. 17, line 48, which is a DMA (direct memory access) controller. However, the DMA controller merely provides a DMA transfer in response to a signal from a translation program (col. 10, lines 65-67). Thus, the DMA controller does not serve to, "if said card is a memory card, read computer code from said memory card by way of a second data port to said memory" as recited in present claim 1.

The above notwithstanding, the combination proposed by the Examiner would also not provide "a card interface capable of distinguishing between a conventional integrated circuit card and a memory type integrated circuit card preloaded with computer code." The Examiner states that Hayes teaches an apparatus capable of distinguishing between card types at col. 6, line 61. In fact, in Hayes, "the first byte of data on the Smart Card 15 is read and evaluated to determine if the Card 15 is of the correct type. If not the microprocessor 26 returns to its idle state ..." Thus, Hayes can determine if a card is of one given type, but cannot distinguish between a conventional integrated circuit card and a memory type integrated circuit card preloaded with computer code. Merely determining if the inserted card is of the "correct" type, as in Hayes, does not constitute "distinguishing between a conventional integrated circuit card and a memory type integrated circuit card preloaded with computer code."

The combination proposed by the Examiner does not provide "a card interface capable of distinguishing between a conventional integrated circuit card and a memory type integrated circuit card preloaded with computer code" for the further reason that Hayes teaches only the use of conventional integrated circuit cards. Hayes states that the "electrical and mechanical interface of Smart Card 15 with the remote control 11 conforms to, and complies with, the international standard ISO 7816 for integrated circuit cards which have an interface with electrical contacts." (col. 5, lines 54 - 57). Cards in accordance with the ISO 7816 standard are an example of a "conventional integrated circuit card," as that term is used in claim 1. In one exemplary embodiment, as explained in the specification at page 4, lines 20 - 24, "after recognizing a memory card 104 has been inserted,"

the microcontroller 108 activates an NRSS interface (as opposed to a conventional ISO standard 7816 interface) to utilize the high speed data ports and extracts the data (the executable computer code 124) from the memory card at about 42 Mbits/second." Thus, it will be appreciated that a smart card, as taught by Hayes, is a "conventional integrated circuit card," and not a "memory card" as recited in claim 1. For this additional reason, the combination of Hayes and Charles does not teach "a card interface capable of distinguishing between a conventional integrated circuit card and said memory card" or "a microcontroller coupled to the card interface and to the memory for, if said card is a memory card, reading said computer code from said memory card by way of said second data port to said memory."

Furthermore, a proper prima facie case of obviousness has not been made for the reason that the step of "reading computer code from said memory card" is not taught in the cited prior art. It is submitted that Hayes does not teach reading computer code from a memory card. Rather, Hayes teaches reading a compilation of device codes suitable for use with a particular universal remote control, and allows a one time transfer of a single device code (i.e., the set of code data needed to remotely operate a particular electronic device) from the readable media storage device into the remote control's on board, non-volatile memory (col. 1, lines 54-61). A set of compilation of device codes is not within the ordinary meaning of "computer code" as understood by one of ordinary skill in the art.

For at least the foregoing reasons, claim 1 is allowable over the prior art of record. Claims 5, 9 and 10, which depend from claim 1, are allowable for at least the reasons that claim 5 is allowable.

## CLAIM 6

Claim 6 depends from claim 5, which depends from claim 1. In addition to the limitations of claim 1, claim 6, as a result of the limitations of claim 5, recites that the card interface has means for producing a first signal that is coupled to an integrated circuit card connection; and means for analyzing a second signal that is

produced by the memory card in response to the first signal. The second signal is not produced by integrated circuit cards that are not memory cards.

The Examiner states that in Hayes, integrated circuit cards that are not memory cards do not produce the cited signal. However, Hayes does not distinguish between memory cards and other integrated circuit cards. Rather, Hayes determines if the card is a Smart Card of the "correct type." Furthermore, the Smart Cards of Hayes are conventional integrated circuit cards, not memory cards. Accordingly, the combination proposed by the Examiner does not have all of the limitations of claim 6.

For at least the foregoing reasons, as well as the reasons set forth above in connection with claim 1, claim 6 is allowable over the prior art of record.

### CLAIM 7

Claim 7 depends from claim 6, and further requires that the card interface applies the first signal to a clock signal connector of the integrated circuit card connection and receives the second signal on a data input/output signal connector of the integrated circuit card connection.

The combination proposed by the Examiner does not provide all of the limitations of claim 7. The Examiner states that Hayes teaches applying a signal to a clock signal connector of an integrated circuit card connection, referring to col 7, line 45, as well as receiving a second signal on a data input/output signal connector of the integrated circuit card connection, referring to col. 7, lines 43 – 44. However, the cited portion of Hayes does not relate to the process of identifying the type of card that has been inserted. Rather, this portion of Hayes relates to "a circuit and program logic . . . provided to select which device is active at which time." (col. 7, lines 39 – 40). In Hayes, this "is accomplished by connecting an input/output port pin 38 on the microprocessor 26 in such a manner that when it is in one state it disables the onboard EEPROM 27 via its enable/disable pin 25 while simultaneously enabling output of the clock signal 24 to the Smart Card connector via transistor 39, while in the other state it enables the onboard EEPROM 27 while disabling the clock output to the Smart Card

connection." In the cited portion of Hayes, a clock signal is output to the Smart Card connector. Claim 7 requires that the second signal is provided in response to the first signal, which is on a clock signal connector. Hayes does not teach or suggest that the second signal is provided in response to the clock signal output to the Smart Card connector. In fact, Hayes teaches that this process is used while performing the functions of reading any data from the card (see col. 7, lines 48 – 51, and Fig. 6). Since Hayes applies the clock signal not only when determining if the card is of the correct type, but also when reading the memory block header, and reading a block from the card, the second signal identifying the card type clearly is not provided in response to the clock signal.

For at least the foregoing reasons, as well as the reasons set forth above in connection with claims 1 and 6, claim 7 is allowable over the prior art of record.

#### CLAIM 11

Claim 11 is an independent method claim. The claimed method is for loading computer code in a computer controlled device having a smart card interface for receiving a smart card, where the card interface has a first data port for transferring data in accordance with a first standard and a second data port for transferring data in accordance with a second standard. The method has a step of identifying whether a smart card inserted in the interface is a memory card containing a memory unit with preloaded computer code and a memory controller, or a conventional integrated circuit card. If the card is a memory card, the method proceeds to transfer the computer code in the memory card through the second data port of a memory card into the computer controlled device, such that the transferred computer code is stored in a memory so as to effect a change in the functionality of the computer controlled device.

The combination proposed by the Examiner does not include all of the limitations of claim 11. The method of Hayes does not include a step of identifying whether a smart card is a memory card containing a memory unit with preloaded computer code and a memory controller, or a conventional integrated circuit card.

In Hayes, "the first byte of data on the Smart Card 15 is read and evaluated to determine if the Card 15 is of the correct type. If not the microprocessor 26 returns to its idle state.." Thus, in Hayes, the method determines whether or not a card is of one type, but does not identify whether the smart card is a memory card containing a memory unit with preloaded computer code, and a memory controller, or a conventional integrated circuit card. Merely determining if the inserted card is of the correct type, as in Hayes, does not constitute identifying which of two types of memory card has been inserted.

The proposed combination does not include a step of identifying whether a smart card is a memory card containing a memory unit with preloaded computer code and a memory controller, or a conventional integrated circuit card, for the further reason that Hayes teaches the use solely of a conventional integrated circuit card. As explained above, Hayes states that the "electrical and mechanical interface of Smart Card 15 with the remote control 11 conforms to, and complies with, the international standard ISO 7816 for integrated circuit cards which have an interface with electrical contacts." (col. 5, lines 54 – 57). Cards in accordance with the ISO 7816 standard are an example of a "conventional integrated circuit card," as that term is used in claim 11. Thus, the Smart Card taught by Hayes is a conventional integrated circuit card.

Furthermore, the proposed combination does not provide a "method of loading computer code in a computer controlled device having a smart card interface for receiving a smart card, said card interface having a first data port for transferring data in accordance with a first standard and a second data port for transferring data in accordance with a second standard." As explained above in connection with claim 1, Charles teaches neither two standards for transferring data, nor an interface having two data ports.

The proposed combination also does not provide the step of "transferring the computer code in said memory card through said second data port of a memory card into said computer controlled device; such that the transferred computer code is stored in a memory so as to effect a change in the functionality of the computer controlled device." As explained in connection with claim 1, Hayes

teaches reading a compilation of device codes suitable for use with a particular universal remote control, and allows a one time transfer of a single device code (i.e., the set of code data needed to remotely operate a particular electronic device) from the readable media storage device into the remote control's on board, non-volatile memory (col. 1, lines 54 - 61). A set of compilation of device codes is not within the ordinary meaning of "computer code" as understood by one of ordinary skill in the art.

For at least the foregoing reasons, claim 11 is allowable over the prior art of record. Claim 14 depends from claim 11 and is allowable for the reasons that claim 11 is allowable.

# CLAIM 12

Claim 12 depends from claim 11, and further recites that the identifying step includes applying a first signal to the memory card; and analyzing a second signal produced by the memory card in response to said first signal to determine if the smart card is a memory card. The Examiner states that Hayes teaches a method including applying a first signal coupled to a memory card connection and analyzing a second signal produced by a memory card in response to the first signal, referring to col. 12, lines 1-3, and col. 6, lines 57-63. The Examiner states that Hayes also teaches a method capable of identifying card types, referring to col. 6, line 61.

Hayes does not teach analyzing a second signal produced by the memory card in response to the first signal to determine if the smart card is a memory card. Indeed, Hayes teaches that smart card 15 is a conventional integrated circuit card and not a memory card. Rather, Hayes states that the "electrical and mechanical interface of Smart Card 15 with the remote control 11 conforms to, and complies with, the international standard ISO 7816 for integrated circuit cards which have an interface with electrical contacts." (col. 5, lines 54-57). In one exemplary embodiment, as explained in the specification at page 4, lines 20-24, "after recognizing a memory card 104 has been inserted, the microcontroller 108 activates an NRSS interface (as opposed to a conventional ISO standard 7816

interface) to utilize the high speed data ports and extracts the data (the executable computer code 124) from the memory card at about 42 Mbits/second." Thus, it will be appreciated that a smart card, as taught by Hayes, is not a memory card as recited in claim 12.

For at least the foregoing reasons, as well as the reasons set forth above in connection with claim 11, claim 12 is allowable over the prior art of record.

#### CLAIM 13

Claim 13 depends from claim 12 and further recites that the transferring step further comprises activating an NRSS interface. The transferring step is a step of transferring the computer code through a second data port of a memory card. Neither Hayes nor Charles teaches a single interface having first and second data ports having different data standards at all, and particularly not where the second data port employs an NRSS interface. The Examiner states that NRSS-type cards are well known, and that Hayes teaches an interface providing a clock signal. The Examiner further states that it would have been obvious to one of ordinary skill in the art at the time the invention was made that NRSS cards constituted a specific type of the memory cards. However, the Examiner does not identify a teaching or suggestion that an NRSS card be employed in a single interface having two different data ports having different data standards.

For at least the foregoing reasons, as well as the reasons set forth above in connection with claims 11 and 12, claim 13 is allowable over the prior art of record.

# <u>CLAIMS 15 – 17</u>

Claim 15 depends from claim 11, and contains the further limitation that the method of claim 11 further comprises toggling a reset signal. The Examiner states that Hayes teaches toggling a reset signal, citing inherent, and col. 7, lines 41 – 47.

It is respectfully submitted that the prior art does not teach the limitation of toggling a reset signal. Toggling a reset signal is advantageous in distinguishing between conventional integrated circuit cards and memory cards. As explained in

the specification at page 5, lines 6-10, a conventional integrated circuit card is placed in sleep mode when the reset signal path is toggled, and will ignore a signal applied to any of its pins. By contrast, as explained on page 5, lines 10-14, when the reset signal path of a memory card is toggled, the memory card monitors the clock input path. When a pulse signal is applied to the memory card's clock input path, the data input/output path of a memory card produces an opposite state signal. As explained on page 5, lines 15-19, the card is identified as a memory card, and not a conventional integrated circuit card, if the data input output signal is opposite the applied clock signal. Hayes clearly does not teach toggling a reset signal, as the conventional integrated circuit card employed in Hayes would not respond, thereby providing no information as to whether the inserted card is of the "correct type." As Hayes does not teach or suggest attempting to distinguish between a conventional integrated circuit card and a memory card, there is no teaching or suggestion in the art to provide the limitations of claim 15.

For at least the foregoing reasons, as well as the reasons set forth above in connection with claim 11, claim 15 is allowable over the prior art of record. Claims 16 and 17 depend from claim 15, and are allowable for the reasons that claim 15 is allowable.

# **CONCLUSION**

Having fully addressed the Examiner's rejections it is believed that, in view of the preceding amendments and remarks, this application stands in condition for allowance. Accordingly then, reconsideration and allowance are respectfully solicited. If, however, the Examiner is of the opinion that such action cannot be taken, the Examiner is invited to contact the applicant's attorney at (609) 734-6815, so that a mutually convenient date and time for a telephonic interview may be scheduled.

Respectfully submitted, Aaron Hal Dinwiddie, et al.

By:

Paul P. Kiel

Attorney for Applicants Registration No. 40,677

THOMSON Licensing Inc. PO Box 5312 Princeton, NJ 08543-5312

Date: September 9, 2005

## **CERTIFICATE OF MAILING**

I hereby certify that this amendment is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on:

september 9, 2005

Date

Administrator Name, Linda Tinda Li